



CENTRALE **B**ANK VAN **A**RUBA

REGISTRATION FORM

&

ACCOMPANYING GUIDANCE NOTES

J.E. Irausquin Boulevard 8 P.O. Box 18 Oranjestad Aruba

www.cbaruba.org

INTRODUCTION

On June 1, 2011 the State Ordinance for the Prevention and Combating of Money Laundering and Terrorist Financing (*Landsverordening voorkoming en bestrijding witwassen en terrorismefinanciering*, AB 2011, no. 28) (AML/CFT State Ordinance) entered into force and thereby replaces the State Ordinance on the Identification when Providing Services (AB 1995 no. 86) and the State Ordinance on the Reporting obligation of Unusual Transactions (AB 1996 no. 85).

AML/CFT STATE ORDINANCE

The AML/CFT State Ordinance contains, inter alia, customer due diligence, reporting and record keeping requirements, directed at financial service providers, and non-financial service providers. Please refer to the tables below where mentioned terms are explained in more detail.

REGISTRATION OBLIGATION

Pursuant to article 50, first paragraph, of the AML/CFT State Ordinance, designated non-financial services providers, which conduct activities as described in articles 1, first paragraph, and 6, second paragraph, subsections b through f, respectively, must register with the CBA via the Registration Form provided by the CBA.

Table A: Designated non-financial service provider:

1.	A natural person, legal person, corporation or partnership that acts as a lawyer, civil notary, tax advisor or in the exercise of a similar legal profession or company.
2.	A natural person, legal person, corporation or partnership that acts as an external registered accountant, an external accountant-administration consultant or a similar profession.
3.	A natural person, legal person or corporation which on a commercial or professional basis trades on or acts as an intermediary in the purchase and sale of immobile objects, vehicles, ships, aircraft, objects of arts, antiquities, and the rights to which these objects can be subjected.
4.	A natural person, legal person, or corporation which trades in precious metals, precious stones and jewels on a commercial or professional basis.
5.	A casino as meant in Article 1, first section, of the State Ordinance Games of Hazard (AB 1990 No. GT 44), as well as an internet casino.

NOT APPLICABLE TO SERVICE PROVIDERS ALREADY REGULATED BY THE CBA

Please note that institutions that are already regulated by the CBA pursuant to the State Ordinance on the Supervision of the Credit System (AB 1998 no. 16) (SOSCS), State Ordinance on the Supervision of Insurance Business (AB 2000 no. 82) (SOSIB), State Ordinance on the Supervision of Money Transfer Companies (AB 2003 no. 60) (SOSMTC) or State Ordinance on the Supervision of Trust Service Providers (AB 2009 no. 13) (SOSTSP), **are not required** to register with the CBA.

CONTINUING OBLIGATION TO REPORT CHANGES

Pursuant to article 50, fourth paragraph, of the AML/CFT State Ordinance, the CBA is to be informed on an on-going basis of any changes to information previously submitted on the Registration Form. This obligation lies with the management of each service provider.

COMMUNICATION WITH THE SERVICE PROVIDER

The CBA will communicate with the contact person mentioned on this Registration Form.

GENERAL POINTS

Incomplete Registration Forms will be returned to the service provider concerned.

All questions contained in the Registration Form must be answered. All responses should be typed or written in black or blue ink.

After completing the Registration Form, please submit to the CBA, by registered mail, by fax (525-2101) or e-mail (integrityregistration@cbaruba.org).

If you have any further questions concerning the completion of this form, you can contact the Integrity Supervision Department of the CBA.



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REGISTRATION FORM

All questions must be answered.

**Please refer to the Guidance Notes to aid completion of this
Registration Form.**

All responses should be typed or written in **black or blue ink**.

Any attachments should be clearly referenced to the relevant question(s) and signed by the service provider completing the Registration Form, as confirmation that they are complete and accurate.

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CENTRALE BANK VAN ARUBA

1. Name of the Service Provider:

2. Address of the Service Provider:

3. Telephone and facsimile number of the Service Provider:

4. E-mail address of the Service Provider:

5. Registration number at the Chamber of Commerce of the Service Provider:

6. Name and address of the persons who manage the Service Provider, and decide on its policy:

7. **Name, telephone number, e-mail address and mobile number of the contact person within the Service Provider:**

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8. **If you are a Designated Non-financial Service Provider as mentioned under Table A, please mark which services you provide in the table hereunder.**

Table A

	Designated non-financial service provider:	
1.1	A natural person, legal person, corporation or partnership that acts as a civil notary .	
1.2	A natural person, legal person, corporation or partnership that acts as a lawyer or in the exercise of a similar legal profession or company .	
1.3	A natural person, legal person, corporation or partnership that acts as a tax advisor .	
2	A natural person, legal person, corporation or partnership that acts as an external registered accountant, an external accountant-administration consultant or a similar profession .	
3.1	A natural person, legal person or corporation which on a commercial or professional basis trades on or acts as an intermediary in the purchase and sale of immobile objects and the rights to which these objects can be subjected .	
3.2	A natural person, legal person or corporation which on a commercial or professional basis trades on or acts as an intermediary in the purchase and sale of vehicles, ships, aircraft, and the rights to which these objects can be subjected .	
3.3	A natural person, legal person or corporation which on a commercial or professional basis trades on or acts as an intermediary in the purchase and sale of objects of arts, antiquities, and the rights to which these objects can be subjected .	
4	A natural person, legal person, or corporation which trades in precious metals, precious stones and jewels on a commercial or professional basis .	
5	A casino as meant in Article 1, first section, of the State Ordinance Games of Hazard (AB 1990 No. GT 44), as well as an internet casino.	

I declare to be authorised to represent the Service Provider named under 1. on page 5 of this Registration Form.

Signed:

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Date:

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Name (BLOCK CAPITALS):

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Position:

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